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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

100725-48 (KGB)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
10/535,522INTERNATIONAL APPLICATION NO.  
PCT/EP2003/012941INTERNATIONAL FILING DATE  
19 November 2003 (19.11.2003)PRIORITY DATE CLAIMED  
19 November 2002 (19.11.2002)

## TITLE OF INVENTION

Use of soluble forms of CD83 and nucleic acids encoding them for the treatment or prevention of diseases

## APPLICANT(S) FOR DO/EO/US

Alexander STEINKASSERER, Matthias LECHMANN, and Elisabeth ZINSER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

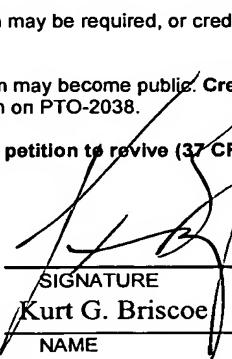
1.  This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76.
15.  A substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18.  A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information: Figure 1

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/535,522</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012941</b>		ATTORNEY'S DOCKET NUMBER <b>100725-48 KGB</b>																									
21. The following fees are submitted:																													
<table> <tr> <td><input type="checkbox"/></td> <td>a) Basic national fee.....</td> <td>\$300.00</td> <td>\$</td> <td></td> <td></td> </tr> <tr> <td><input type="checkbox"/></td> <td>b) Examination fee.....</td> <td>\$200.00</td> <td>\$</td> <td></td> <td></td> </tr> <tr> <td><input type="checkbox"/></td> <td>c) Search fee.....</td> <td>\$500.00</td> <td>\$</td> <td></td> <td></td> </tr> <tr> <td colspan="3"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$1000.00</b></td> <td colspan="2">\$</td> </tr> </table>						<input type="checkbox"/>	a) Basic national fee.....	\$300.00	\$			<input type="checkbox"/>	b) Examination fee.....	\$200.00	\$			<input type="checkbox"/>	c) Search fee.....	\$500.00	\$			<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$1000.00</b>	\$	
<input type="checkbox"/>	a) Basic national fee.....	\$300.00	\$																										
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<input type="checkbox"/>	c) Search fee.....	\$500.00	\$																										
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$1000.00</b>	\$																									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																													
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																										
- 100 =	/50 =		x \$250.00	\$																									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																													
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																									
Total claims	- 20 =		x \$50.00	\$																									
Independent claims	- 3 =		x \$200.00	\$																									
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$																									
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$</b>	\$																									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.																													
<b>SUBTOTAL =</b>																													
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +																													
<b>TOTAL NATIONAL FEE =</b>																													
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +																													
<b>TOTAL FEES ENCLOSED =</b>																													
<table> <tr> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$</td> </tr> </table>						Amount to be refunded:	\$	Amount to be charged:	\$																				
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Amount to be charged:	\$																												
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.																													
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																													
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1263</u> . A duplicate copy of this sheet is enclosed.																													
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.																													
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>																													
SEND ALL CORRESPONDENCE TO: Kurt G. Briscoe Customer No. 27384 Norris McLaughlin & Marcus, P.A. 875 Third Avenue - 18th Floor New York, NY 10022																													
 SIGNATURE Kurt G. Briscoe NAME 33,141 REGISTRATION NUMBER																													

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

Express Mail Label No. EV 627049635 US

Date: June 16, 2005

By: Jennifer Archer  
Jennifer Archer

Attorney Docket No. 100725-48 (KGB)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Alexander STEINKASSERER et al  
SERIAL NO. : To Be Assigned [This is a 371 of PCT/EP2003/012941]  
CUSTOMER NO. : 27384  
FILED : Herewith  
FOR : USE OF SOLUBLE FORMS OF CD83 AND NUCLEIC ACIDS  
ENCODING THEM FOR THE TREATMENT OR PREBENTION  
OF DISEASES  
ART UNIT : To Be Assigned  
EXAMINER : To Be Assigned

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION**

Applicant requests that the attached sheet of drawing (Fig. 1, sheet 1 of 20) be made of record in the above identified application as it appears to have been inadvertently omitted from the specification.

Respectfully submitted,  
NORRIS MC LAUGHLIN & MARCUS, P.A.

By Kurt G. Briscoe  
Kurt G. Briscoe  
Attorney for Applicant(s)  
Reg. No. 33,141  
875 Third Avenue - 18<sup>th</sup> Floor  
New York, New York 10022  
Phone: (212) 808-0700  
Fax: (212) 808-0844

This is a Utility Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Alexander STEINKASSERER et al  
SERIAL NO. : 10/535,522 [This is a 371 of PCT/EP2003/012941]  
CUSTOMER NO. : 27384  
FILED : May 18, 2005  
FOR : USE OF SOLUBLE FORMS OF CD83 AND NUCLEIC ACIDS  
ENCODING THEM FOR THE TREATMENT OR PREBENTION  
OF DISEASES  
EXAMINER : To Be Assigned

EXPRESS MAIL CERTIFICATE

“Express Mail” mailing label number EV 627049635 US

Date of Deposit June 16, 2005

I hereby certify that the following items:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)  
Concerning a Submission Under 35 U.S.C. 371 – **CHARGE DEPOSIT ACCOUNT**
2. Communication
3. Figure 1
4. Return Receipt Postcard

are being deposited with the United States Postal Services “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By Jennifer Archer  
Jennifer Archer